

# State of Colorado

## Year 2000 Embedded Systems

### Progress Summary

As of December 15, 1999



The information contained within this report is a Year 2000 Readiness Disclosure as defined in the Year 2000 Information and Readiness Disclosure Act of 1998. It is not intended to be an assurance, guarantee, or warranty concerning Year 2000 compliance of any particular system, product, or department.

## OIT

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# 1 Statewide Project Information

## 1.1 Background

This is the November edition of a report on the status of Phase II of the Year 2000 Embedded Systems effort within the State of Colorado. The purpose of this information is to provide stakeholders with an update on project progress to achieve Statewide Year 2000 compliance for embedded systems.

Phase I of the State of Colorado Year 2000 Embedded Systems Project was initiated in the spring of 1998. In this phase, the State of Colorado Year 2000 Embedded Systems Toolkit was developed by General Support Services (GSS) and released to all departments to guide them through the program. The Toolkit details the process of executing a Year 2000 Embedded Systems Project including:

- Conducting an inventory
- Assessing
- Tracking
- Remediating
- Testing

The objective of Phase II is to collect and review the embedded systems programs for each department. This phase provides an independent evaluation of the State's methodology, assesses the extent to which the methodology is being followed by the departments, and evaluates the results produced from a statewide perspective. Each department is to compile and forward their Embedded Systems Documentation to the Office of Innovation and Technology (OIT) Year 2000 Embedded Systems Project Office (Embedded Systems Office) for review.

The prior editions of the State of Colorado Year 2000 Embedded Systems Progress Report can be accessed at the State of Colorado Year 2000 Embedded Systems web site (<http://www.state.co.us/Y2K/embedded/index.html>).

This report is prepared by the Embedded Systems Office in coordination with CIBER, Inc. and TAVA Y2kOne, Inc. This report covers the reporting period ending December 15, 1999.

## **1.2 Scope**

The Phase II effort has divided the 21 departments of the State of Colorado into two tiers. The intent of dividing the departments into two tiers is to categorize the risk and exposure of each department to embedded systems issues and help in planning and efficiently assessing the progress of the departments. Tier one departments were deemed to have wide spread use of embedded systems, where Tier two departments have a very low embedded systems usage. The Embedded Systems Office made tier assignment. All departments are included in this report.

The nine Tier One Departments are:

- Department of Corrections
- Department of Human Services
- Department of Labor and Employment
- Department of Natural Resources
- Department of Personnel and General Support Services
- Department of Public Health and Environment
- Department of Public Safety
- Department of Revenue
- Department of Transportation

The twelve Tier Two Departments are:

- Department of Agriculture
- Department of Education
- Department of Health Care Policy and Finance
- Judicial Department
- Department of Law
- Department of Legislature
- Department of Local Affairs
- Department of Military Affairs
- Department of Public Defender
- Department of Regulatory Agencies
- Secretary of State
- Department of Treasury

The only departments not reviewed for this report are the Governor's Office and Higher Education.

### 1.3 Project Status

Project status is for project effort and progress toward completion of the program. This report does not include a financial review each department's project. The scoring system recognizes adherence to the Toolkit, documentation completeness, and the progress of program to date. Accuracy and completeness of inventory, compliance determination, and project remediation plan validity are not included in the score, however an independent evaluation of these quality aspects has been included in the department's narrative evaluation.

The following chart, *Figure 1.3-1 Summary Project Progress Rating Chart*, summarizes the progress of each department's embedded systems effort.

Department	Score	Prior Report Score
Agriculture	5*	5*
Education	5*	3
Health Care Policy and Finance	5*	5*
Judicial	5*	5*
Labor and Employment	5*	3
Law	5*	5*
Legislature	5*	5*
Natural Resources	5*	2
Personnel and General Support Services	5*	4
Public Defender	5*	5*
Public Health and Environment	5*	4
Public Safety	5*	4
Revenue	5*	3
Secretary of State	5*	4
Transportation	5*	3
Treasury	5*	5*
Corrections	4	4
Human Services	4	4
Local Affairs	4	4
Military Affairs	4	3
Regulatory Agencies	4	4

\* - Indicates that the department has completed their Embedded Systems Program for systems classified as Fatal or Critical.

**Figure 1.3-1: Summary Project Progress Rating Chart**

Sixteen of the twenty-one departments have now completed their embedded systems programs.

The remaining five departments have completed the majority of their work and are continuing with their efforts with no issues or concerns to report.

<b>Progress Rating</b>	<b>Interpretation of Progress Ratings</b>
Five (5)	Department has executed an embedded systems program using the Year 2000 Embedded System Toolkit or comparable program. Program is complete. Documentation gathered is complete and thorough.
Four (4)	Department is executing an embedded systems program using the Year 2000 Embedded Systems Toolkit or comparable program Program is proceeding with no issues to report. Documentation gathered to date is complete and thorough.
Three (3)	Department is executing an embedded systems program using the Year 2000 Embedded Systems Toolkit or comparable program Program is proceeding with issues or concerns as outlined in Department Status.
Two (2)	Department has not executed an embedded systems program or has not prepared adequate documentation of their embedded systems program. Department plans to proceed with an embedded systems program.
One (1)	Department has not executed an embedded systems program or has not prepared adequate documentation of their embedded systems program. Not enough documentation is available to assess the progress of the Department. Department has no known plan for proceeding with an embedded systems program.

**Figure 1.3-2: Interpretation of Progress Ratings**

Project status was evaluated by gathering project documentation, personal interviews with project staff and site surveys of select locations at some departments. This data was gathered and analyzed to determine if fatal and critical systems have complete, documented item inventories. Compliance documentation and remediation plans were reviewed when available. The progress of each department has been given an overall score from 1 to 5 with 5 being the best possible score. The chart above, *Figure 1.3-2: Interpretation of Progress Ratings* provides an explanation of each rating.

## **1.4 Conclusions**

The Embedded Systems Office is pleased that nine departments completed their programs during the past reporting period. In addition, the remaining departments have made significant progress toward completing their programs. In cases where the Department has not yet completed their program, it is primarily because remediation efforts are being completed at this time. An explanation of the remaining work is included in the Department Summaries.

Overall, The Embedded Systems Office believes that the State is prepared for January 1<sup>st</sup>, 2000. Although some departments have assumed more risk than others, all departments have assumed the responsibility for their embedded systems.

The Embedded Systems Office plans to remain active through the end of January to monitor and assist the departments in their efforts.

## 2 Department Progress Information

In addition to an overall rating, each step has been scored with a 1 to 5 with 5 being the best possible score. As *Figure 2-1: Interpretation of Step Ratings* provides an explanation of each rating.

<b>Progress Rating</b>	<b>Interpretation of Rating</b>
Five (5)	Department has completed the step using State of Colorado Toolkit or comparable program. Documentation gathered is complete and thorough.
Four (4)	Department has partially completed the step and is continuing progress to complete the step. Majority of the department has been completed. Documentation gathered to date is thorough, but will not be complete until the step is fully completed.
Three (3)	Department has partially completed the step and is continuing progress to complete the step. Majority of the department has not yet been completed. Documentation gathered to date is thorough, but will not be complete until the step is fully completed.
Two (2)	Department has completed the step for a portion of the department, but has not continued progress for the remainder of the department. Documentation gathered to date is thorough, but will not be complete until the step is fully completed.
One (1)	Department has not completed the step or not enough documentation was available to assess the progress of the department.

**Figure 2-1: Interpretation of Step Ratings**

## 2.1 Agriculture

### 2.1.1 Progress Information

Department of Agriculture Program Phase Ratings		
Step	Score	Comment
1. Inventory and Risk Assessment	5	
2. Locating Embedded Chips	5	
3. Location of Warranties	---	Not evaluated for this report.
4. Contacting Vendors/Manufacturers	5	
5. Program Efforts	5	
6. Remediation	5	
7. Testing	5	

**Figure 2.1.1-1: Department of Agriculture Program Step Ratings**

#### *Overview*

For items classified as fatal or critical, the Department of Agriculture has completed their embedded systems program. Status for this department will no longer be tracked.

#### *Inventory Assessment and Completeness*

N/A

#### *Site Review*

N/A

#### *Summary*

N/A

#### *Issues and Concerns*

N/A

#### *Forward Actions*

N/A

## 2.1.2 Inventory Statistics

Compliance Statistics - Department of Agriculture								
	Compliant		Non-Compliant		Unknown		Total	
	Count	%	Count	%	Count	%	Count	%
Fatal	0	0.00%	0	0.00%	0	0.00%	0	0.00%
Critical	5	100.00%	0	0.00%	0	0.00%	5	100.00%
<b>Fatal and Critical Subtotal</b>	<b>5</b>	<b>100.00%</b>	<b>0</b>	<b>0.00%</b>	<b>0</b>	<b>0.00%</b>	<b>5</b>	
Important	0	0.00%	0	0.00%	0	0.00%	0	0.00%
Marginal	40	95.24%	2	4.76%	0	0.00%	42	100.00%
<b>Important and Marginal Subtotal</b>	<b>40</b>	<b>95.24%</b>	<b>2</b>	<b>4.76%</b>	<b>0</b>	<b>0.00%</b>	<b>42</b>	
Unknown	0	0.00%	0	0.00%	0	0.00%	0	0.00%
<b>Unknown Subtotal</b>	<b>0</b>	<b>0.00%</b>	<b>0</b>	<b>0.00%</b>	<b>0</b>	<b>0.00%</b>	<b>0</b>	
<b>TOTAL</b>	<b>45</b>	<b>95.74%</b>	<b>2</b>	<b>4.26%</b>	<b>0</b>	<b>0.00%</b>	<b>47</b>	

Figure 2.1.2-1: Department of Agriculture Compliance Statistics

Compliance Documentation Statistics - Department of Agriculture							
	Documented		Un-Documented		Total		
	Count	%	Count	%	Count	%	
Fatal	0	0.00%	0	0.00%	0	0.00%	
Critical	5	100.00%	0	0.00%	5	100.00%	
<b>Fatal and Critical Subtotal</b>	<b>5</b>	<b>100.00%</b>	<b>0</b>	<b>0.00%</b>	<b>5</b>		
Important	0	0.00%	0	0.00%	0	0.00%	
Marginal	40	95.24%	2	4.76%	42	100.00%	
<b>Important and Marginal Subtotal</b>	<b>40</b>	<b>95.24%</b>	<b>2</b>	<b>4.76%</b>	<b>42</b>		
Unknown	0	0.00%	0	0.00%	0	0.00%	
<b>Unknown Subtotal</b>	<b>0</b>	<b>0.00%</b>	<b>0</b>	<b>0.00%</b>	<b>0</b>		
<b>TOTAL</b>	<b>45</b>	<b>95.74%</b>	<b>2</b>	<b>4.26%</b>	<b>47</b>		

Figure 2.1.2-2: Department of Agriculture Compliance Documentation Statistics

## 2.2 Corrections

### 2.2.1 Progress Information

Department of Corrections Program Phase Ratings		
Step	Score	Comment
1. Inventory and Risk Assessment	5	
2. Locating Embedded Chips	5	
3. Location of Warranties	---	Not evaluated for this report.
4. Contacting Vendors/Manufacturers	5	
5. Program Efforts	4	
6. Remediation	4	
7. Testing	4	

Figure 2.2.1-1: Department of Corrections Program Step Ratings

#### *Overview*

The Department of Corrections embedded systems review is currently in progress and is near completion. The Department has contracted an outside consulting firm to conduct this project. The scope of the project is the Level III and higher security facilities in the Department.

#### *Inventory Assessment and Completeness*

Inventory and risk assessment are complete. Compliance determination for critical items is near complete. The Department and their consultant are continuing work to complete the remaining items.

#### *Outstanding Items*

The Department has eight items for which compliance determination and documentation is incomplete. The Department is continuing their work to complete these items with no issues or concerns to report.

#### *Site Review*

No site reviews were completed during this reporting period.

#### *Summary*

The Department has completed an inventory for all Level III and higher security facilities and is currently completing their remaining remediation and testing efforts where appropriate.

#### *Issues and Concerns*

There are no issues or concerns at this time.

#### *Forward Actions*

The Embedded Systems Office recommends that the Department continue in their efforts, and forward any additional documentation as soon as possible.

## 2.2.2 Inventory Statistics

Compliance Statistics - Department of Corrections								
	Compliant		Non-Compliant		Unknown		Total	
	Count	%	Count	%	Count	%	Count	%
Fatal	37	12.94%	0	0.00%	0	0.00%	37	12.94%
Critical	241	84.27%	7	2.45%	1	0.35%	249	87.06%
<b>Fatal and Critical Subtotal</b>	<b>278</b>	<b>97.20%</b>	<b>7</b>	<b>2.45%</b>	<b>1</b>	<b>0.35%</b>	<b>286</b>	
Important	47	57.32%	1	1.22%	0	0.00%	48	58.54%
Marginal	34	41.46%	0	0.00%	0	0.00%	34	41.46%
<b>Important and Marginal Subtotal</b>	<b>81</b>	<b>98.78%</b>	<b>1</b>	<b>1.22%</b>	<b>0</b>	<b>0.00%</b>	<b>82</b>	
Unknown	0	0.00%	0	0.00%	0	0.00%	0	0.00%
<b>Unknown Subtotal</b>	<b>0</b>	<b>0.00%</b>	<b>0</b>	<b>0.00%</b>	<b>0</b>	<b>0.00%</b>	<b>0</b>	
<b>TOTAL</b>	<b>359</b>	<b>97.55%</b>	<b>8</b>	<b>2.17%</b>	<b>1</b>	<b>0.27%</b>	<b>368</b>	

Figure 2.2.2-1: Department of Corrections Compliance Statistics

The Embedded Systems Office will not be providing compliance documentation statistics for the Department of Corrections as the documentation has been gathered and organized by the independent consultant and will not be reviewed in detail by the Embedded Systems Office. The Embedded Systems Office has spot reviewed the documentation, and has no concerns about the quality of documentation being prepared by the consultant. Compliance documentation has been prepared for all items classified as fatal or critical. The Department is currently completing a comprehensive review and quality assurance of the documentation provided by the consultant.

Figure 2.2.2-2: Department of Corrections Compliance Documentation Statistics

## 2.3 Education

### 2.3.1 Progress Information

Department of Education Program Phase Ratings		
Step	Score	Comment
1. Inventory and Risk Assessment	5	
2. Locating Embedded Chips	5	
3. Location of Warranties	---	Not evaluated for this report.
4. Contacting Vendors/Manufacturers	5	
5. Program Efforts	5	
6. Remediation	5	
7. Testing	5	

Figure 2.3.1-1: Department of Education Program Step Ratings

#### *Overview*

For items classified as fatal or critical, the Department of Education has completed their embedded systems program. Status for this department will no longer be tracked.

#### *Inventory Assessment and Completeness*

N/A

#### *Site Review*

N/A

#### *Summary*

N/A

#### *Issues and Concerns*

N/A

#### *Forward Actions*

N/A

## 2.3.2 Inventory Statistics

Compliance Statistics - Department of Education								
	Compliant		Non-Compliant		Unknown		Total	
	Count	%	Count	%	Count	%	Count	%
Fatal	14	48.28%	0	0.00%	0	0.00%	14	48.28%
Critical	15	51.72%	0	0.00%	0	0.00%	15	51.72%
<b>Fatal and Critical Subtotal</b>	<b>29</b>	<b>100.00%</b>	<b>0</b>	<b>0.00%</b>	<b>0</b>	<b>0.00%</b>	<b>29</b>	
Important	12	29.27%	0	0.00%	9	21.95%	21	51.22%
Marginal	3	7.32%	1	2.44%	16	39.02%	20	48.78%
<b>Important and Marginal Subtotal</b>	<b>15</b>	<b>36.59%</b>	<b>1</b>	<b>2.44%</b>	<b>25</b>	<b>60.98%</b>	<b>41</b>	
Unknown	0	0.00%	0	0.00%	0	0.00%	0	0.00%
<b>Unknown Subtotal</b>	<b>0</b>	<b>0.00%</b>	<b>0</b>	<b>0.00%</b>	<b>0</b>	<b>0.00%</b>	<b>0</b>	
<b>TOTAL</b>	<b>44</b>	<b>62.86%</b>	<b>1</b>	<b>1.43%</b>	<b>25</b>	<b>35.71%</b>	<b>70</b>	

Figure 2.3.2-1: Department of Education Compliance Statistics

Compliance Documentation Statistics - Department of Education							
	Documented		Un-Documented		Total		
	Count	%	Count	%	Count	%	
Fatal	14	48.28%	0	0.00%	14	48.28%	
Critical	15	51.72%	0	0.00%	15	51.72%	
<b>Fatal and Critical Subtotal</b>	<b>29</b>	<b>100.00%</b>	<b>0</b>	<b>0.00%</b>	<b>29</b>		
Important	7	17.07%	14	34.15%	21	51.22%	
Marginal	3	7.32%	17	41.46%	20	48.78%	
<b>Important and Marginal Subtotal</b>	<b>10</b>	<b>24.39%</b>	<b>31</b>	<b>75.61%</b>	<b>41</b>		
Unknown	0	0.00%	0	0.00%	0	0.00%	
<b>Unknown Subtotal</b>	<b>0</b>	<b>0.00%</b>	<b>0</b>	<b>0.00%</b>	<b>0</b>		
<b>TOTAL</b>	<b>39</b>	<b>55.71%</b>	<b>31</b>	<b>44.29%</b>	<b>70</b>		

Figure 2.3.2-2: Department of Education Compliance Documentation Statistics

## 2.4 Health Care Policy and Finance

### 2.4.1 Progress Information

Department of Health Care Policy and Finance Program Phase Ratings		
Step	Score	Comment
1. Inventory and Risk Assessment	5	
2. Locating Embedded Chips	5	
3. Location of Warranties	---	Not evaluated for this report.
4. Contacting Vendors/Manufacturers	5	
5. Program Efforts	5	
6. Remediation	5	
7. Testing	5	

**Figure 2.4.1-1: Department of Health Care Policy and Finance Program Step Ratings**

#### *Overview*

For items classified as fatal or critical, the Department of Health Care Policy and Finance has completed their embedded systems program. Status for this department will no longer be tracked.

#### *Inventory Assessment and Completeness*

N/A

#### *Site Review*

N/A

#### *Summary*

N/A

#### *Issues and Concerns*

N/A

#### *Forward Actions*

N/A

## 2.4.2 Inventory Statistics

Compliance Statistics - Department of Health Care Policy and Finance								
	Compliant		Non-Compliant		Unknown		Total	
	Count	%	Count	%	Count	%	Count	%
Fatal	0	0.00%	0	0.00%	0	0.00%	0	0.00%
Critical	0	0.00%	0	0.00%	0	0.00%	0	0.00%
<b>Fatal and Critical Subtotal</b>	<b>0</b>	<b>0.00%</b>	<b>0</b>	<b>0.00%</b>	<b>0</b>	<b>0.00%</b>	<b>0</b>	
Important	8	57.14%	1	7.14%	4	28.57%	13	92.86%
Marginal	1	7.14%	0	0.00%	0	0.00%	1	7.14%
<b>Important and Marginal Subtotal</b>	<b>9</b>	<b>64.29%</b>	<b>1</b>	<b>7.14%</b>	<b>4</b>	<b>28.57%</b>	<b>14</b>	
Unknown	0	0.00%	0	0.00%	0	0.00%	0	0.00%
<b>Unknown Subtotal</b>	<b>0</b>	<b>0.00%</b>	<b>0</b>	<b>0.00%</b>	<b>0</b>	<b>0.00%</b>	<b>0</b>	
<b>TOTAL</b>	<b>9</b>	<b>64.29%</b>	<b>1</b>	<b>7.14%</b>	<b>4</b>	<b>28.57%</b>	<b>14</b>	

Figure 2.4.2-1: Department of Health Care Policy and Finance Compliance Statistics

Compliance Documentation Statistics - Department of Health Care Policy and Finance							
	Documented		Un-Documented		Total		
	Count	%	Count	%	Count	%	
Fatal	0	0.00%	0	0.00%	0	0.00%	
Critical	0	0.00%	0	0.00%	0	0.00%	
<b>Fatal and Critical Subtotal</b>	<b>0</b>	<b>0.00%</b>	<b>0</b>	<b>0.00%</b>	<b>0</b>		
Important	0	0.00%	13	92.86%	13	92.86%	
Marginal	0	0.00%	1	7.14%	1	7.14%	
<b>Important and Marginal Subtotal</b>	<b>0</b>	<b>0.00%</b>	<b>14</b>	<b>100.00%</b>	<b>14</b>		
Unknown	0	0.00%	0	0.00%	0	0.00%	
<b>Unknown Subtotal</b>	<b>0</b>	<b>0.00%</b>	<b>0</b>	<b>0.00%</b>	<b>0</b>		
<b>TOTAL</b>	<b>0</b>	<b>0.00%</b>	<b>14</b>	<b>100.00%</b>	<b>14</b>		

Figure 2.4.2-2: Department of Health Care Policy and Finance Compliance Documentation Statistics

## 2.5 Human Services

### 2.5.1 Progress Information

Department of Human Services Program Phase Ratings		
Step	Score	Comment
1. Inventory and Risk Assessment	5	
2. Locating Embedded Chips	5	
3. Location of Warranties	---	Not evaluated for this report.
4. Contacting Vendors/Manufacturers	4	
5. Program Efforts	4	
6. Remediation	1	No documentation currently available.
7. Testing	1	No documentation currently available.

**Figure 2.5.1-1: Department of Human Services Program Step Ratings**

#### *Overview*

The Department of Human services has contracted an external vendor to execute an embedded systems review of the facilities classified as fatal, critical, or important to the Department's operations. This review is currently underway.

#### *Inventory Assessment and Completeness*

Full inventory for these facilities has been provided. Risk assignment is complete. Compliance determination is complete for about 75 percent of the items, and the Department and their consultant are continuing work to complete the remaining items.

#### *Outstanding Items*

The Department has 17 fatal and critical non-compliant items to remediate or replace and 93 fatal and critical items with unknown compliance determination. The Department has given verbal status updates that indicate that they have completed many of these items, but updated written status was not available at the time of this report.

#### *Site Review*

No site reviews were completed during this reporting period.

#### *Summary*

The Department has completed an inventory for all facilities classified as fatal, critical, and important. The Department is currently completing their remaining Compliance determination, remediation and testing efforts where appropriate.

#### *Issues and Concerns*

There are no issues or concerns at this time.

#### *Forward Actions*

The Embedded Systems Office recommends that the Department continue in their efforts, and forward any additional documentation as soon as possible.

## 2.5.2 Inventory Statistics

Compliance Statistics - Department of Human Services								
	Compliant		Non-Compliant		Unknown		Total	
	Count	%	Count	%	Count	%	Count	%
Fatal	219	39.25%	7	1.25%	22	3.94%	248	44.44%
Critical	229	41.04%	10	1.79%	71	12.72%	310	55.56%
<b>Fatal and Critical Subtotal</b>	<b>448</b>	<b>80.29%</b>	<b>17</b>	<b>3.05%</b>	<b>93</b>	<b>16.67%</b>	<b>558</b>	
Important	236	57.14%	16	3.87%	61	14.77%	313	75.79%
Marginal	81	19.61%	5	1.21%	14	3.39%	100	24.21%
<b>Important and Marginal Subtotal</b>	<b>317</b>	<b>76.76%</b>	<b>21</b>	<b>5.08%</b>	<b>75</b>	<b>18.16%</b>	<b>413</b>	
Unknown	0	0.00%	0	0.00%	0	0.00%	0	0.00%
<b>Unknown Subtotal</b>	<b>0</b>	<b>0.00%</b>	<b>0</b>	<b>0.00%</b>	<b>0</b>	<b>0.00%</b>	<b>0</b>	
<b>TOTAL</b>	<b>765</b>	<b>78.78%</b>	<b>38</b>	<b>3.91%</b>	<b>168</b>	<b>17.30%</b>	<b>971</b>	

Figure 2.5.2-1: Department of Human Services Compliance Statistics

The Embedded Systems Office will not be providing compliance documentation statistics for the Department of Human Services as the documentation has been gathered and organized by the independent consultant and will not be reviewed in detail by the Embedded Systems Office.

Figure 2.5.2-2: Department of Human Services Compliance Documentation Statistics

## 2.6 Judicial

### 2.6.1 Progress Information

Judicial Program Phase Ratings		
Step	Score	Comment
1. Inventory and Risk Assessment	5	
2. Locating Embedded Chips	5	
3. Location of Warranties	---	Not evaluated for this report.
4. Contacting Vendors/Manufacturers	5	
5. Program Efforts	5	
6. Remediation	5	
7. Testing	5	

Figure 2.6.1-1: Judicial Department Program Step Ratings

#### *Overview*

For items classified as fatal or critical, the Judicial Department has completed their embedded systems program. Status for this department will no longer be tracked.

The Department is continuing with their review of County Court facilities used by the Department.

#### *Inventory Assessment and Completeness*

N/A

#### *Site Review*

N/A

#### *Summary*

N/A

#### *Issues and Concerns*

N/A

#### *Forward Actions*

N/A

## 2.6.2 Inventory Statistics

Compliance Statistics - Department of Judicial								
	Compliant		Non-Compliant		Unknown		Total	
	Count	%	Count	%	Count	%	Count	%
Fatal	9	81.82%	0	0.00%	0	0.00%	9	81.82%
Critical	2	18.18%	0	0.00%	0	0.00%	2	18.18%
<b>Fatal and Critical Subtotal</b>	<b>11</b>	<b>100.00%</b>	<b>0</b>	<b>0.00%</b>	<b>0</b>	<b>0.00%</b>	<b>11</b>	
Important	0	0.00%	0	0.00%	35	55.56%	35	55.56%
Marginal	2	3.17%	0	0.00%	26	41.27%	28	44.44%
<b>Important and Marginal Subtotal</b>	<b>2</b>	<b>3.17%</b>	<b>0</b>	<b>0.00%</b>	<b>61</b>	<b>96.83%</b>	<b>63</b>	
Unknown	0	0.00%	0	0.00%	0	0.00%	0	0.00%
<b>Unknown Subtotal</b>	<b>0</b>	<b>0.00%</b>	<b>0</b>	<b>0.00%</b>	<b>0</b>	<b>0.00%</b>	<b>0</b>	
<b>TOTAL</b>	<b>13</b>	<b>17.57%</b>	<b>0</b>	<b>0.00%</b>	<b>61</b>	<b>82.43%</b>	<b>74</b>	

Figure 2.6.2-1: Department of Judicial Compliance Statistics

Compliance Documentation Statistics - Department of Judicial						
	Documented		Un-Documented		Total	
	Count	%	Count	%	Count	%
Fatal	9	81.82%	0	0.00%	9	81.82%
Critical	2	18.18%	0	0.00%	2	18.18%
<b>Fatal and Critical Subtotal</b>	<b>11</b>	<b>100.00%</b>	<b>0</b>	<b>0.00%</b>	<b>11</b>	
Important	0	0.00%	35	55.56%	35	55.56%
Marginal	2	3.17%	26	41.27%	28	44.44%
<b>Important and Marginal Subtotal</b>	<b>2</b>	<b>3.17%</b>	<b>61</b>	<b>96.83%</b>	<b>63</b>	
Unknown	0	0.00%	0	0.00%	0	0.00%
<b>Unknown Subtotal</b>	<b>0</b>	<b>0.00%</b>	<b>0</b>	<b>0.00%</b>	<b>0</b>	
<b>TOTAL</b>	<b>13</b>	<b>17.57%</b>	<b>61</b>	<b>82.43%</b>	<b>74</b>	

Figure 2.6.2-2: Department of Judicial Compliance Documentation Statistics

## 2.7 Labor and Employment

### 2.7.1 Progress Information

Department of Labor and Employment Program Phase Ratings		
Step	Score	Comment
1. Inventory and Risk Assessment	5	
2. Locating Embedded Chips	5	
3. Location of Warranties	---	Not evaluated for this report.
4. Contacting Vendors/Manufacturers	5	
5. Program Efforts	5	
6. Remediation	5	
7. Testing	5	

**Figure 2.7.1-1: Department of Labor and Employment Program Phase Ratings**

#### *Overview*

For items classified as fatal or critical, the Department of Labor and Employment has completed their embedded systems program. Status for this department will no longer be tracked.

The Department is continuing work to finalize their master building list and landlord confirmation letters.

#### *Inventory Assessment and Completeness*

N/A

#### *Site Review*

N/A

#### *Summary*

N/A

#### *Issues and Concerns*

N/A

#### *Forward Actions*

N/A

## 2.7.2 Inventory Statistics

Compliance Statistics - Department of Labor and Employment								
	Compliant		Non-Compliant		Unknown		Total	
	Count	%	Count	%	Count	%	Count	%
Fatal	4	80.00%	0	0.00%	0	0.00%	4	80.00%
Critical	1	20.00%	0	0.00%	0	0.00%	1	20.00%
<b>Fatal and Critical Subtotal</b>	<b>5</b>	<b>100.00%</b>	<b>0</b>	<b>0.00%</b>	<b>0</b>	<b>0.00%</b>	<b>5</b>	
Important	37	44.58%	9	10.84%	16	19.28%	62	74.70%
Marginal	0	0.00%	0	0.00%	21	25.30%	21	25.30%
<b>Important and Marginal Subtotal</b>	<b>37</b>	<b>44.58%</b>	<b>9</b>	<b>10.84%</b>	<b>37</b>	<b>44.58%</b>	<b>83</b>	
Unknown	0	0.00%	0	0.00%	0	0.00%	0	0.00%
<b>Unknown Subtotal</b>	<b>0</b>	<b>0.00%</b>	<b>0</b>	<b>0.00%</b>	<b>0</b>	<b>0.00%</b>	<b>0</b>	
<b>TOTAL</b>	<b>42</b>	<b>47.73%</b>	<b>9</b>	<b>10.23%</b>	<b>37</b>	<b>42.05%</b>	<b>88</b>	

Figure 2.7.2-1: Department of Labor and Employment Compliance Statistics

Compliance Documentation Statistics - Department of Labor and Employment							
	Documented		Un-Documented		Total		
	Count	%	Count	%	Count	%	
Fatal	4	80.00%	0	0.00%	4	80.00%	
Critical	1	20.00%	0	0.00%	1	20.00%	
<b>Fatal and Critical Subtotal</b>	<b>5</b>	<b>100.00%</b>	<b>0</b>	<b>0.00%</b>	<b>5</b>		
Important	20	24.10%	42	50.60%	62	74.70%	
Marginal	2	2.41%	19	22.89%	21	25.30%	
<b>Important and Marginal Subtotal</b>	<b>22</b>	<b>26.51%</b>	<b>61</b>	<b>73.49%</b>	<b>83</b>		
Unknown	0	0.00%	0	0.00%	0	0.00%	
<b>Unknown Subtotal</b>	<b>0</b>	<b>0.00%</b>	<b>0</b>	<b>0.00%</b>	<b>0</b>		
<b>TOTAL</b>	<b>27</b>	<b>30.68%</b>	<b>61</b>	<b>69.32%</b>	<b>88</b>		

Figure 2.7.2-2: Department of Labor and Employment Compliance Documentation Statistics

## 2.8 Law

### 2.8.1 Progress Information

Department of Law Program Phase Ratings		
Step	Score	Comment
1. Inventory and Risk Assessment	5	
2. Locating Embedded Chips	5	
3. Location of Warranties	---	Not evaluated for this report.
4. Contacting Vendors/Manufacturers	5	
5. Program Efforts	5	
6. Remediation	5	
7. Testing	5	

**Figure 2.8.1-1: Department of Law Program Step Ratings**

#### *Overview*

For items classified as fatal or critical, the Department of Law has completed their embedded systems program. Status for this department will no longer be tracked.

#### *Inventory Assessment and Completeness*

N/A

#### *Site Review*

N/A

#### *Summary*

N/A

#### *Issues and Concerns*

N/A

#### *Forward Actions*

N/A

## 2.8.2 Inventory Statistics

Compliance Statistics - Department of Law								
	Compliant		Non-Compliant		Unknown		Total	
	Count	%	Count	%	Count	%	Count	%
Fatal	0	0.00%	0	0.00%	0	0.00%	0	0.00%
Critical	5	100.00%	0	0.00%	0	0.00%	5	100.00%
<b>Fatal and Critical Subtotal</b>	<b>5</b>	<b>100.00%</b>	<b>0</b>	<b>0.00%</b>	<b>0</b>	<b>0.00%</b>	<b>5</b>	
Important	2	14.29%	2	14.29%	4	28.57%	8	57.14%
Marginal	1	7.14%	0	0.00%	5	35.71%	6	42.86%
<b>Important and Marginal Subtotal</b>	<b>3</b>	<b>21.43%</b>	<b>2</b>	<b>14.29%</b>	<b>9</b>	<b>64.29%</b>	<b>14</b>	
Unknown	0	0.00%	0	0.00%	0	0.00%	0	0.00%
<b>Unknown Subtotal</b>	<b>0</b>	<b>0.00%</b>	<b>0</b>	<b>0.00%</b>	<b>0</b>	<b>0.00%</b>	<b>0</b>	
<b>TOTAL</b>	<b>8</b>	<b>42.11%</b>	<b>2</b>	<b>10.53%</b>	<b>9</b>	<b>47.37%</b>	<b>19</b>	

Figure 2.8.2-1: Department of Law Compliance Statistics

Compliance Documentation Statistics - Department of Law						
	Documented		Un-Documented		Total	
	Count	%	Count	%	Count	%
Fatal	0	0.00%	0	0.00%	0	0.00%
Critical	5	100.00%	0	0.00%	5	100.00%
<b>Fatal and Critical Subtotal</b>	<b>5</b>	<b>100.00%</b>	<b>0</b>	<b>0.00%</b>	<b>5</b>	
Important	1	7.14%	7	50.00%	8	57.14%
Marginal	0	0.00%	6	42.86%	6	42.86%
<b>Important and Marginal Subtotal</b>	<b>1</b>	<b>7.14%</b>	<b>13</b>	<b>92.86%</b>	<b>14</b>	
Unknown	0	0.00%	0	0.00%	0	0.00%
<b>Unknown Subtotal</b>	<b>0</b>	<b>0.00%</b>	<b>0</b>	<b>0.00%</b>	<b>0</b>	
<b>TOTAL</b>	<b>6</b>	<b>31.58%</b>	<b>13</b>	<b>68.42%</b>	<b>19</b>	

Figure 2.8.2-2: Department of Law Compliance Documentation Statistics

## 2.9 Legislature

### 2.9.1 Progress Information

Department of Legislature Program Phase Ratings		
Step	Score	Comment
1. Inventory and Risk Assessment	5	
2. Locating Embedded Chips	5	
3. Location of Warranties	---	Not evaluated for this report.
4. Contacting Vendors/Manufacturers	5	
5. Program Efforts	5	
6. Remediation	5	
7. Testing	5	

**Figure 2.9.1-1: Department of Legislature Program Step Ratings**

#### *Overview*

For items classified as fatal or critical, the Department of Legislature has completed their embedded systems program. Status for this department will no longer be tracked.

#### *Inventory Assessment and Completeness*

N/A

#### *Site Review*

N/A

#### *Summary*

N/A

#### *Issues and Concerns*

N/A

#### *Forward Actions*

N/A

## 2.9.2 Inventory Statistics

Compliance Statistics - Department of Legislature								
	Compliant		Non-Compliant		Unknown		Total	
	Count	%	Count	%	Count	%	Count	%
Fatal	0	0.00%	0	0.00%	0	0.00%	0	0.00%
Critical	2	100.00%	0	0.00%	0	0.00%	2	100.00%
<b>Fatal and Critical Subtotal</b>	<b>2</b>	<b>100.00%</b>	<b>0</b>	<b>0.00%</b>	<b>0</b>	<b>0.00%</b>	<b>2</b>	
Important	0	0.00%	0	0.00%	0	0.00%	0	0.00%
Marginal	0	0.00%	0	0.00%	0	0.00%	0	0.00%
<b>Important and Marginal Subtotal</b>	<b>0</b>	<b>0.00%</b>	<b>0</b>	<b>0.00%</b>	<b>0</b>	<b>0.00%</b>	<b>0</b>	
Unknown	0	0.00%	0	0.00%	0	0.00%	0	0.00%
<b>Unknown Subtotal</b>	<b>0</b>	<b>0.00%</b>	<b>0</b>	<b>0.00%</b>	<b>0</b>	<b>0.00%</b>	<b>0</b>	
<b>TOTAL</b>	<b>2</b>	<b>100.00%</b>	<b>0</b>	<b>0.00%</b>	<b>0</b>	<b>0.00%</b>	<b>2</b>	

Figure 2.9.2-1: Department of Legislature Compliance Statistics

Compliance Documentation Statistics - Department of Legislature							
	Documented		Un-Documented		Total		
	Count	%	Count	%	Count	%	
Fatal	0	0.00%	0	0.00%	0	0.00%	
Critical	2	100.00%	0	0.00%	2	100.00%	
<b>Fatal and Critical Subtotal</b>	<b>2</b>	<b>100.00%</b>	<b>0</b>	<b>0.00%</b>	<b>2</b>		
Important	0	0.00%	0	0.00%	0	0.00%	
Marginal	0	0.00%	0	0.00%	0	0.00%	
<b>Important and Marginal Subtotal</b>	<b>0</b>	<b>0.00%</b>	<b>0</b>	<b>0.00%</b>	<b>0</b>		
Unknown	0	0.00%	0	0.00%	0	0.00%	
<b>Unknown Subtotal</b>	<b>0</b>	<b>0.00%</b>	<b>0</b>	<b>0.00%</b>	<b>0</b>		
<b>TOTAL</b>	<b>2</b>	<b>100.00%</b>	<b>0</b>	<b>0.00%</b>	<b>2</b>		

Figure 2.9.2-2: Department of Legislature Compliance Documentation Statistics

## 2.10 Local Affairs

### 2.10.1 Progress Information

Department of Local Affairs Program Phase Ratings		
Step	Score	Comment
1. Inventory and Risk Assessment	5	
2. Locating Embedded Chips	5	
3. Location of Warranties	---	Not evaluated for this report.
4. Contacting Vendors/Manufacturers	5	
5. Program Efforts	5	
6. Remediation	4	
7. Testing	4	

**Figure 2.10.1-1: Department of Local Affairs Program Step Ratings**

#### *Overview*

The Department of Local Affairs is executing an embedded systems program and is near completion of their program.

#### *Inventory Assessment and Completeness*

The Department has completed inventory, risk assessment and compliance determination. The Department is proceeding with compliance documentation for a few items, and testing efforts where necessary.

#### *Outstanding Items*

The Department has one critical item remaining for which compliance determination and documentation is remaining. The Department is currently working to obtain documentation.

The Department is also continuing work to finalize their master building list and landlord confirmation letters.

#### *Site Review*

No site reviews have been conducted and none are planned.

#### *Summary*

The inventory lists are complete, determination and documentation of vendor compliance is near complete, and the Department is nearly finished with the remaining steps. The documentation of program efforts is clear and concise.

#### *Issues and Concerns*

There are no issues or concerns at this time.

#### *Forward Actions*

The Department should complete their testing efforts that are currently underway, and continue to document their efforts.

## 2.10.2 Inventory Statistics

Compliance Statistics - Department of Local Affairs								
	Compliant		Non-Compliant		Unknown		Total	
	Count	%	Count	%	Count	%	Count	%
Fatal	0	0.00%	0	0.00%	0	0.00%	0	0.00%
Critical	11	91.67%	0	0.00%	1	8.33%	12	100.00%
<b>Fatal and Critical Subtotal</b>	<b>11</b>	<b>91.67%</b>	<b>0</b>	<b>0.00%</b>	<b>1</b>	<b>8.33%</b>	<b>12</b>	
Important	25	75.76%	0	0.00%	6	18.18%	31	93.94%
Marginal	2	6.06%	0	0.00%	0	0.00%	2	6.06%
<b>Important and Marginal Subtotal</b>	<b>27</b>	<b>81.82%</b>	<b>0</b>	<b>0.00%</b>	<b>6</b>	<b>18.18%</b>	<b>33</b>	
Unknown	0	0.00%	0	0.00%	0	0.00%	0	0.00%
<b>Unknown Subtotal</b>	<b>0</b>	<b>0.00%</b>	<b>0</b>	<b>0.00%</b>	<b>0</b>	<b>0.00%</b>	<b>0</b>	
<b>TOTAL</b>	<b>38</b>	<b>84.44%</b>	<b>0</b>	<b>0.00%</b>	<b>7</b>	<b>15.56%</b>	<b>45</b>	

Figure 2.10.2-1: Department of Local Affairs Compliance Statistics

Compliance Documentation Statistics - Department of Local Affairs							
	Documented		Un-Documented		Total		
	Count	%	Count	%	Count	%	
Fatal	0	0.00%	0	0.00%	0	0.00%	
Critical	11	91.67%	1	8.33%	12	100.00%	
<b>Fatal and Critical Subtotal</b>	<b>11</b>	<b>91.67%</b>	<b>1</b>	<b>8.33%</b>	<b>12</b>		
Important	13	39.39%	18	54.55%	31	93.94%	
Marginal	0	0.00%	2	6.06%	2	6.06%	
<b>Important and Marginal Subtotal</b>	<b>13</b>	<b>39.39%</b>	<b>20</b>	<b>60.61%</b>	<b>33</b>		
Unknown	0	0.00%	0	0.00%	0	0.00%	
<b>Unknown Subtotal</b>	<b>0</b>	<b>0.00%</b>	<b>0</b>	<b>0.00%</b>	<b>0</b>		
<b>TOTAL</b>	<b>24</b>	<b>53.33%</b>	<b>21</b>	<b>46.67%</b>	<b>45</b>		

Figure 2.10.2-2: Department of Local Affairs Compliance Documentation Statistics

## 2.11 Military Affairs

### 2.11.1 Progress Information

Department of Military Affairs Program Phase Ratings		
Step	Score	Comment
1. Inventory and Risk Assessment	5	
2. Locating Embedded Chips	5	
3. Location of Warranties	---	Not evaluated for this report.
4. Contacting Vendors/Manufacturers	4	
5. Program Efforts	4	
6. Remediation	4	No documentation has been provided.
7. Testing	4	No documentation has been provided.

**Figure 2.11.1-1: Department of Military Affairs Program Step Ratings**

#### *Overview*

The Department of Military Affairs is continuing with their embedded systems program and is near completion.

Because of security issues and shared responsibility of Federal Equipment the Department has submitted only partial inventory information, and no further information is expected. For these same reasons, the Embedded Systems Office will focus their review on only those devices under the direct and complete control of the Department.

#### *Inventory Assessment and Completeness*

Inventory information received is detailed and well organized. The program is near complete with only two fatal or critical items to be remediated, tested, and documented.

#### *Outstanding Items*

The Department has two phone system items that are currently being replaced. Once these items are complete, all systems classified as fatal or critical will be complete.

#### *Site Review*

No site reviews have been conducted for this department and none are planned at this time.

#### *Summary*

For items classified as fatal or critical, the Department has nearly completed their efforts. The remaining items are phone switch and voice mail which are in the process of being upgraded.

#### *Issues and Concerns*

There are no issues or concerns at this time.

#### *Forward Actions*

The Embedded Systems Office recommends that the Department continue in their efforts, and forward any additional documentation as soon as possible.

## 2.11.2 Inventory Statistics

<b>Compliance Statistics - Department of Military Affairs</b>								
	Compliant		Non-Compliant		Unknown		Total	
	Count	%	Count	%	Count	%	Count	%
Fatal	19	26.76%	0	0.00%	0	0.00%	19	26.76%
Critical	50	70.42%	2	2.82%	0	0.00%	52	73.24%
<b>Fatal and Critical Subtotal</b>	<b>69</b>	<b>97.18%</b>	<b>2</b>	<b>2.82%</b>	<b>0</b>	<b>0.00%</b>	<b>71</b>	
Important	3	10.00%	0	0.00%	0	0.00%	3	10.00%
Marginal	27	90.00%	0	0.00%	0	0.00%	27	90.00%
<b>Important and Marginal Subtotal</b>	<b>30</b>	<b>100.00%</b>	<b>0</b>	<b>0.00%</b>	<b>0</b>	<b>0.00%</b>	<b>30</b>	
Unknown	0	0.00%	0	0.00%	0	0.00%	0	0.00%
<b>Unknown Subtotal</b>	<b>0</b>	<b>0.00%</b>	<b>0</b>	<b>0.00%</b>	<b>0</b>	<b>0.00%</b>	<b>0</b>	
<b>TOTAL</b>	<b>99</b>	<b>98.02%</b>	<b>2</b>	<b>1.98%</b>	<b>0</b>	<b>0.00%</b>	<b>101</b>	

Figure 2.11.2-1: Department of Military Affairs Compliance Statistics

<b>Compliance Documentation Statistics - Department of Military Affairs</b>							
	Documented		Un-Documented		Total		
	Count	%	Count	%	Count	%	
Fatal	19	26.76%	0	0.00%	19	26.76%	
Critical	50	70.42%	2	2.82%	52	73.24%	
<b>Fatal and Critical Subtotal</b>	<b>69</b>	<b>97.18%</b>	<b>2</b>	<b>2.82%</b>	<b>71</b>		
Important	3	10.00%	0	0.00%	3	10.00%	
Marginal	23	76.67%	4	13.33%	27	90.00%	
<b>Important and Marginal Subtotal</b>	<b>26</b>	<b>86.67%</b>	<b>4</b>	<b>13.33%</b>	<b>30</b>		
Unknown	0	0.00%	0	0.00%	0	0.00%	
<b>Unknown Subtotal</b>	<b>0</b>	<b>0.00%</b>	<b>0</b>	<b>0.00%</b>	<b>0</b>		
<b>TOTAL</b>	<b>95</b>	<b>94.06%</b>	<b>6</b>	<b>5.94%</b>	<b>101</b>		

Figure 2.11.2-2: Department of Military Affairs Compliance Documentation Statistics

## 2.12 Natural Resources

### 2.12.1 Progress Information

Department of Natural Resources Program Phase Ratings		
Step	Score	Comment
1. Inventory and Risk Assessment	N/A	
2. Locating Embedded Chips	N/A	
3. Location of Warranties	---	Not evaluated for this report.
4. Contacting Vendors/Manufacturers	N/A	
5. Program Efforts	N/A	
6. Remediation	N/A	
7. Testing	N/A	

**Figure 2.12.1-1: Department of Natural Resources Program Step Ratings**

#### *Overview*

The Department of Natural Resources took a different approach to their embedded systems program. This approach was the result of meetings held between the Embedded Systems Office and the Department's Deputy Director.

In their approach, the Department provided a Master Building List to the Embedded Systems Office. This list included all facilities occupied by the Department with a risk assigned to each building.

For facilities classified as fatal or critical, the Department was then to provide documentation for the embedded systems in that facility. The Department, however, did not provide that documentation. In lieu of that documentation, Mr. Greg Walcher, Executive Director of the Department, has provided a signed letter to the Embedded Systems Office stating "The Department of Natural Resources is willing to assume any added risk that may be introduced as a result of not providing any documentation".

As a result, the Embedded Office will note that the Department has completed their Y2K embedded program, but the risk, assumed by the department, still remains. The Embedded Office will continue to offer assistance to the Department at their request.

#### *Inventory Assessment and Completeness*

N/A

#### *Site Review*

N/A

#### *Summary*

N/A

#### *Issues and Concerns*

N/A

#### *Forward Actions*

N/A

## 2.12.2 Inventory Statistics

Compliance Statistics - Department of Natural Resources								
	Compliant		Non-Compliant		Unknown		Total	
	Count	%	Count	%	Count	%	Count	%
Fatal	2	4.65%	0	0.00%	0	0.00%	2	4.65%
Critical	10	23.26%	4	9.30%	27	62.79%	41	95.35%
<b>Fatal and Critical Subtotal</b>	<b>12</b>	<b>27.91%</b>	<b>4</b>	<b>9.30%</b>	<b>27</b>	<b>62.79%</b>	<b>43</b>	
Important	16	26.67%	1	1.67%	23	38.33%	40	66.67%
Marginal	1	1.67%	0	0.00%	19	31.67%	20	33.33%
<b>Important and Marginal Subtotal</b>	<b>17</b>	<b>28.33%</b>	<b>1</b>	<b>1.67%</b>	<b>42</b>	<b>70.00%</b>	<b>60</b>	
Unknown	46	40.35%	6	5.26%	62	54.39%	114	100.00%
<b>Unknown Subtotal</b>	<b>46</b>	<b>40.35%</b>	<b>6</b>	<b>5.26%</b>	<b>62</b>	<b>54.39%</b>	<b>114</b>	
<b>TOTAL</b>	<b>75</b>	<b>34.56%</b>	<b>11</b>	<b>5.07%</b>	<b>131</b>	<b>60.37%</b>	<b>217</b>	

Figure 2.12.2-1: Department of Natural Resources Compliance Statistics

Compliance Documentation Statistics - Department of Natural Resources						
	Documented		Un-Documented		Total	
	Count	%	Count	%	Count	%
Fatal	0	0.00%	2	4.65%	2	4.65%
Critical	0	0.00%	41	95.35%	41	95.35%
<b>Fatal and Critical Subtotal</b>	<b>0</b>	<b>0.00%</b>	<b>43</b>	<b>100.00%</b>	<b>43</b>	
Important	0	0.00%	40	66.67%	40	66.67%
Marginal	0	0.00%	20	33.33%	20	33.33%
<b>Important and Marginal Subtotal</b>	<b>0</b>	<b>0.00%</b>	<b>60</b>	<b>100.00%</b>	<b>60</b>	
Unknown	0	0.00%	114	100.00%	114	100.00%
<b>Unknown Subtotal</b>	<b>0</b>	<b>0.00%</b>	<b>114</b>	<b>100.00%</b>	<b>114</b>	
<b>TOTAL</b>	<b>0</b>	<b>0.00%</b>	<b>217</b>	<b>100.00%</b>	<b>217</b>	

Figure 2.12.2-2: Department of Natural Resources Compliance Documentation Statistics

## 2.13 Personnel and General Support Services

### 2.13.1 Progress Information

Department of Personnel and General Support Services Program Phase Ratings		
Step	Score	Comment
1. Inventory and Risk Assessment	5	
2. Locating Embedded Chips	5	
3. Location of Warranties	---	Not evaluated for this report.
4. Contacting Vendors/Manufacturers	5	
5. Program Efforts	5	
6. Remediation	5	Currently in progress.
7. Testing	5	Currently in progress.

Figure 2.13.1-1: Department of Personnel and General Support Services Program Phase Ratings

#### *Overview*

For items classified as fatal or critical, the Personnel and General Support Services has completed their embedded systems program. Status for this department will no longer be tracked.

#### *Inventory Assessment and Completeness*

N/A

#### *Site Review*

N/A

#### *Summary*

N/A

#### *Issues and Concerns*

N/A

#### *Forward Actions*

N/A

## 2.13.2 Inventory Statistics

Compliance Statistics - Department of Personnel								
	Compliant		Non-Compliant		Unknown		Total	
	Count	%	Count	%	Count	%	Count	%
Fatal	92	52.27%	0	0.00%	0	0.00%	92	52.27%
Critical	84	47.73%	0	0.00%	0	0.00%	84	47.73%
<b>Fatal and Critical Subtotal</b>	<b>176</b>	<b>100.00%</b>	<b>0</b>	<b>0.00%</b>	<b>0</b>	<b>0.00%</b>	<b>176</b>	
Important	52	49.52%	0	0.00%	3	2.86%	55	52.38%
Marginal	46	43.81%	0	0.00%	4	3.81%	50	47.62%
<b>Important and Marginal Subtotal</b>	<b>98</b>	<b>93.33%</b>	<b>0</b>	<b>0.00%</b>	<b>7</b>	<b>6.67%</b>	<b>105</b>	
Unknown	0	0.00%	0	0.00%	0	0.00%	0	0.00%
<b>Unknown Subtotal</b>	<b>0</b>	<b>0.00%</b>	<b>0</b>	<b>0.00%</b>	<b>0</b>	<b>0.00%</b>	<b>0</b>	
<b>TOTAL</b>	<b>274</b>	<b>97.51%</b>	<b>0</b>	<b>0.00%</b>	<b>7</b>	<b>2.49%</b>	<b>281</b>	

Figure 2.13.2-1: Department of Personnel and General Support Services Compliance Statistics

Compliance Documentation Statistics - Department of Personnel						
	Documented		Un-Documented		Total	
	Count	%	Count	%	Count	%
Fatal	92	52.27%	0	0.00%	92	52.27%
Critical	84	47.73%	0	0.00%	84	47.73%
<b>Fatal and Critical Subtotal</b>	<b>176</b>	<b>100.00%</b>	<b>0</b>	<b>0.00%</b>	<b>176</b>	
Important	49	46.67%	6	5.71%	55	52.38%
Marginal	43	40.95%	7	6.67%	50	47.62%
<b>Important and Marginal Subtotal</b>	<b>92</b>	<b>87.62%</b>	<b>13</b>	<b>12.38%</b>	<b>105</b>	
Unknown	0	0.00%	0	0.00%	0	0.00%
<b>Unknown Subtotal</b>	<b>0</b>	<b>0.00%</b>	<b>0</b>	<b>0.00%</b>	<b>0</b>	
<b>TOTAL</b>	<b>268</b>	<b>95.37%</b>	<b>13</b>	<b>4.63%</b>	<b>281</b>	

Figure 2.13.2-2: Department of Personnel and General Support Services Compliance Documentation Statistics

## 2.14 Public Defender

### 2.14.1 Progress Information

Public Defender Program Phase Ratings		
Step	Score	Comment
1. Inventory and Risk Assessment	5	
2. Locating Embedded Chips	5	
3. Location of Warranties	---	Not evaluated for this report.
4. Contacting Vendors/Manufacturers	5	
5. Program Efforts	5	
6. Remediation	5	
7. Testing	5	

**Figure 2.14.1-1: Department of Public Defender Program Step Ratings**

#### *Overview*

For items classified as fatal or critical, the Public Defender has completed their embedded systems program. Status for this department will no longer be tracked.

#### *Inventory Assessment and Completeness*

N/A

#### *Site Review*

N/A

#### *Summary*

N/A

#### *Issues and Concerns*

N/A

#### *Forward Actions*

N/A

## 2.14.2 Inventory Statistics

Compliance Statistics - Department of Public Defender								
	Compliant		Non-Compliant		Unknown		Total	
	Count	%	Count	%	Count	%	Count	%
Fatal	32	58.18%	0	0.00%	0	0.00%	32	58.18%
Critical	23	41.82%	0	0.00%	0	0.00%	23	41.82%
<b>Fatal and Critical Subtotal</b>	<b>55</b>	<b>100.00%</b>	<b>0</b>	<b>0.00%</b>	<b>0</b>	<b>0.00%</b>	<b>55</b>	
Important	0	0.00%	0	0.00%	5	5.43%	5	5.43%
Marginal	2	2.17%	0	0.00%	85	92.39%	87	94.57%
<b>Important and Marginal Subtotal</b>	<b>2</b>	<b>2.17%</b>	<b>0</b>	<b>0.00%</b>	<b>90</b>	<b>97.83%</b>	<b>92</b>	
Unknown	0	0.00%	0	0.00%	0	0.00%	0	0.00%
<b>Unknown Subtotal</b>	<b>0</b>	<b>0.00%</b>	<b>0</b>	<b>0.00%</b>	<b>0</b>	<b>0.00%</b>	<b>0</b>	
<b>TOTAL</b>	<b>57</b>	<b>38.78%</b>	<b>0</b>	<b>0.00%</b>	<b>90</b>	<b>61.22%</b>	<b>147</b>	

Figure 2.14.2-1: Department of Public Defender Compliance Statistics

Compliance Documentation Statistics - Department of Public Defender							
	Documented		Un-Documented		Total		
	Count	%	Count	%	Count	%	
Fatal	32	58.18%	0	0.00%	32	58.18%	
Critical	23	41.82%	0	0.00%	23	41.82%	
<b>Fatal and Critical Subtotal</b>	<b>55</b>	<b>100.00%</b>	<b>0</b>	<b>0.00%</b>	<b>55</b>		
Important	0	0.00%	5	5.43%	5	5.43%	
Marginal	2	2.17%	85	92.39%	87	94.57%	
<b>Important and Marginal Subtotal</b>	<b>2</b>	<b>2.17%</b>	<b>90</b>	<b>97.83%</b>	<b>92</b>		
Unknown	0	0.00%	0	0.00%	0	0.00%	
<b>Unknown Subtotal</b>	<b>0</b>	<b>0.00%</b>	<b>0</b>	<b>0.00%</b>	<b>0</b>		
<b>TOTAL</b>	<b>57</b>	<b>38.78%</b>	<b>90</b>	<b>61.22%</b>	<b>147</b>		

Figure 2.14.2-2: Department of Public Defender Compliance Documentation Statistics

## 2.15 Public Health and Environment

### 2.15.1 Progress Information

Department of Public Health and Environment Program Phase Ratings		
Step	Score	Comment
1. Inventory and Risk Assessment	5	
2. Locating Embedded Chips	5	
3. Location of Warranties	---	Not evaluated for this report.
4. Contacting Vendors/Manufacturers	5	
5. Program Efforts	5	
6. Remediation	5	
7. Testing	5	

**Figure 2.15.1-1: Department of Public Health and Environment Program Phase Ratings**

#### *Overview*

For items classified as fatal or critical, the Department of Public Health and Environment has completed their embedded systems program. Status for this department will no longer be tracked.

#### *Inventory Assessment and Completeness*

N/A

#### *Site Review*

N/A

#### *Summary*

N/A

#### *Issues and Concerns*

N/A

#### *Forward Actions*

N/A

## 2.15.2 Inventory Statistics

<b>Compliance Statistics - Department of Public Health and Environment</b>								
	Compliant		Non-Compliant		Unknown		Total	
	Count	%	Count	%	Count	%	Count	%
Fatal	3	3.70%	0	0.00%	0	0.00%	3	3.70%
Critical	78	96.30%	0	0.00%	0	0.00%	78	96.30%
<b>Fatal and Critical Subtotal</b>	<b>81</b>	<b>100.00%</b>	<b>0</b>	<b>0.00%</b>	<b>0</b>	<b>0.00%</b>	<b>81</b>	
Important	1	20.00%	0	0.00%	0	0.00%	1	20.00%
Marginal	3	60.00%	0	0.00%	1	20.00%	4	80.00%
<b>Important and Marginal Subtotal</b>	<b>4</b>	<b>80.00%</b>	<b>0</b>	<b>0.00%</b>	<b>1</b>	<b>20.00%</b>	<b>5</b>	
Unknown	0	0.00%	0	0.00%	0	0.00%	0	0.00%
<b>Unknown Subtotal</b>	<b>0</b>	<b>0.00%</b>	<b>0</b>	<b>0.00%</b>	<b>0</b>	<b>0.00%</b>	<b>0</b>	
<b>TOTAL</b>	<b>85</b>	<b>98.84%</b>	<b>0</b>	<b>0.00%</b>	<b>1</b>	<b>1.16%</b>	<b>86</b>	

Figure 2.15.2-1: Department of Public Health and Environment Compliance Statistics

<b>Compliance Documentation Statistics - Department of Public Health and Environment</b>							
	Documented		Un-Documented		Total		
	Count	%	Count	%	Count	%	
Fatal	3	3.70%	0	0.00%	3	3.70%	
Critical	78	96.30%	0	0.00%	78	96.30%	
<b>Fatal and Critical Subtotal</b>	<b>81</b>	<b>100.00%</b>	<b>0</b>	<b>0.00%</b>	<b>81</b>		
Important	1	20.00%	0	0.00%	1	20.00%	
Marginal	3	60.00%	1	20.00%	4	80.00%	
<b>Important and Marginal Subtotal</b>	<b>4</b>	<b>80.00%</b>	<b>1</b>	<b>20.00%</b>	<b>5</b>		
Unknown	0	0.00%	0	0.00%	0	0.00%	
<b>Unknown Subtotal</b>	<b>0</b>	<b>0.00%</b>	<b>0</b>	<b>0.00%</b>	<b>0</b>		
<b>TOTAL</b>	<b>85</b>	<b>98.84%</b>	<b>1</b>	<b>1.16%</b>	<b>86</b>		

Figure 2.15.2-2: Department of Public Health and Environment Compliance Documentation Statistics

## 2.16 Public Safety

### 2.16.1 Progress Information

Department of Public Safety Program Phase Ratings		
Step	Score	Comment
1. Inventory and Risk Assessment	5	
2. Locating Embedded Chips	5	
3. Location of Warranties	---	Not evaluated for this report.
4. Contacting Vendors/Manufacturers	5	
5. Program Efforts	5	
6. Remediation	5	
7. Testing	5	

**Figure 2.16.1-1: Department of Public Safety Program Phase Ratings**

#### *Overview*

For items classified as fatal or critical, the Department of Public Safety has completed their embedded systems program. Status for this department will no longer be tracked.

The Department is continuing work to finalize their master building list and landlord confirmation letters.

#### *Inventory Assessment and Completeness*

N/A

#### *Site Review*

N/A

#### *Summary*

N/A

#### *Issues and Concerns*

N/A

#### *Forward Actions*

N/A

## 2.16.2 Inventory Statistics

Compliance Statistics - Department of Public Safety								
	Compliant		Non-Compliant		Unknown		Total	
	Count	%	Count	%	Count	%	Count	%
Fatal	19	32.20%	0	0.00%	0	0.00%	19	32.20%
Critical	40	67.80%	0	0.00%	0	0.00%	40	67.80%
<b>Fatal and Critical Subtotal</b>	<b>59</b>	<b>100.00%</b>	<b>0</b>	<b>0.00%</b>	<b>0</b>	<b>0.00%</b>	<b>59</b>	
Important	30	35.29%	1	1.18%	8	9.41%	39	45.88%
Marginal	41	48.24%	4	4.71%	1	1.18%	46	54.12%
<b>Important and Marginal Subtotal</b>	<b>71</b>	<b>83.53%</b>	<b>5</b>	<b>5.88%</b>	<b>9</b>	<b>10.59%</b>	<b>85</b>	
Unknown	0	0.00%	0	0.00%	0	0.00%	0	0.00%
<b>Unknown Subtotal</b>	<b>0</b>	<b>0.00%</b>	<b>0</b>	<b>0.00%</b>	<b>0</b>	<b>0.00%</b>	<b>0</b>	
<b>TOTAL</b>	<b>130</b>	<b>90.28%</b>	<b>5</b>	<b>3.47%</b>	<b>9</b>	<b>6.25%</b>	<b>144</b>	

Figure 2.16.2-1: Department of Public Safety Compliance Statistics

Compliance Documentation Statistics - Department of Public Safety						
	Documented		Un-Documented		Total	
	Count	%	Count	%	Count	%
Fatal	19	32.20%	0	0.00%	19	32.20%
Critical	40	67.80%	0	0.00%	40	67.80%
<b>Fatal and Critical Subtotal</b>	<b>59</b>	<b>100.00%</b>	<b>0</b>	<b>0.00%</b>	<b>59</b>	
Important	31	36.47%	8	9.41%	39	45.88%
Marginal	23	27.06%	23	27.06%	46	54.12%
<b>Important and Marginal Subtotal</b>	<b>54</b>	<b>63.53%</b>	<b>31</b>	<b>36.47%</b>	<b>85</b>	
Unknown	0	0.00%	0	0.00%	0	0.00%
<b>Unknown Subtotal</b>	<b>0</b>	<b>0.00%</b>	<b>0</b>	<b>0.00%</b>	<b>0</b>	
<b>TOTAL</b>	<b>113</b>	<b>78.47%</b>	<b>31</b>	<b>21.53%</b>	<b>144</b>	

Figure 2.16.2-2: Department of Public Safety Compliance Documentation Statistics

## 2.17 Regulatory Agencies

### 2.17.1 Progress Information

Department of Regulatory Agencies Program Phase Ratings		
Step	Score	Comment
1. Inventory and Risk Assessment	5	
2. Locating Embedded Chips	5	
3. Location of Warranties	---	Not evaluated for this report.
4. Contacting Vendors/Manufacturers	5	
5. Program Efforts	4	
6. Remediation	3	
7. Testing	3	

**Figure 2.17.1-1: Department of Regulatory Agencies Program Step Ratings**

#### *Overview*

The Department of Regulatory Agencies is executing an embedded systems program. The documentation received to date is well organized and of good quality.

#### *Inventory Assessment and Completeness*

Inventory information was submitted for all divisions of the Department of Regulatory Agencies. The inventories include risk assessment, compliance determination, vendor compliance documentation and remediation plans.

#### *Outstanding Items*

The Department has completed their embedded systems program with the exception of replacement of several phone systems, which is currently underway. The Department is also completing confirmation with landlords of compliance of facilities occupied by the Department.

The Department is also continuing work to finalize their master building list and landlord confirmation letters.

#### *Site Review*

No site reviews have been conducted and none are planned.

#### *Summary*

Inventories from all divisions of the Department of Regulatory Agencies have been received. The inventory lists, determination and documentation of vendor compliance and associated documentation is complete.

#### *Issues and Concerns*

There are no issues or concerns at this time.

#### *Forward Actions*

The Department should continue work to upgrade phone systems and forward documentation when available.

## 2.17.2 Inventory Statistics

<b>Compliance Statistics - Department of Regulatory Agencies</b>								
	Compliant		Non-Compliant		Unknown		Total	
	Count	%	Count	%	Count	%	Count	%
Fatal	1	10.00%	7	70.00%	0	0.00%	8	80.00%
Critical	2	20.00%	0	0.00%	0	0.00%	2	20.00%
<b>Fatal and Critical Subtotal</b>	<b>3</b>	<b>30.00%</b>	<b>7</b>	<b>70.00%</b>	<b>0</b>	<b>0.00%</b>	<b>10</b>	
Important	19	95.00%	1	5.00%	0	0.00%	20	100.00%
Marginal	0	0.00%	0	0.00%	0	0.00%	0	0.00%
<b>Important and Marginal Subtotal</b>	<b>19</b>	<b>95.00%</b>	<b>1</b>	<b>5.00%</b>	<b>0</b>	<b>0.00%</b>	<b>20</b>	
Unknown	0	0.00%	0	0.00%	0	0.00%	0	0.00%
<b>Unknown Subtotal</b>	<b>0</b>	<b>0.00%</b>	<b>0</b>	<b>0.00%</b>	<b>0</b>	<b>0.00%</b>	<b>0</b>	
<b>TOTAL</b>	<b>22</b>	<b>73.33%</b>	<b>8</b>	<b>26.67%</b>	<b>0</b>	<b>0.00%</b>	<b>30</b>	

Figure 2.17.2-1: Department of Regulatory Agencies Compliance Statistics

<b>Compliance Documentation Statistics - Department of Regulatory Agencies</b>						
	Documented		Un-Documented		Total	
	Count	%	Count	%	Count	%
Fatal	8	80.00%	0	0.00%	8	80.00%
Critical	2	20.00%	0	0.00%	2	20.00%
<b>Fatal and Critical Subtotal</b>	<b>10</b>	<b>100.00%</b>	<b>0</b>	<b>0.00%</b>	<b>10</b>	
Important	0	0.00%	20	100.00%	20	100.00%
Marginal	0	0.00%	0	0.00%	0	0.00%
<b>Important and Marginal Subtotal</b>	<b>0</b>	<b>0.00%</b>	<b>20</b>	<b>100.00%</b>	<b>20</b>	
Unknown	0	0.00%	0	0.00%	0	0.00%
<b>Unknown Subtotal</b>	<b>0</b>	<b>0.00%</b>	<b>0</b>	<b>0.00%</b>	<b>0</b>	
<b>TOTAL</b>	<b>10</b>	<b>33.33%</b>	<b>20</b>	<b>66.67%</b>	<b>30</b>	

Figure 2.17.2-2: Department of Regulatory Agencies Compliance Documentation Statistics

## 2.18 Revenue

### 2.18.1 Progress Information

Department of Revenue Program Phase Ratings		
Step	Score	Comment
1. Inventory and Risk Assessment	5	
2. Locating Embedded Chips	5	
3. Location of Warranties	---	Not evaluated for this report.
4. Contacting Vendors/Manufacturers	5	
5. Program Efforts	5	
6. Remediation	5	
7. Testing	5	

**Figure 2.18.1-1: Department of Revenue Program Step Ratings**

#### *Overview*

The Department of Revenue took a different approach to their embedded systems program. This approach was the result of meetings held between the Embedded Systems Office and the Department's Executive Director.

In their approach, the Department provided a Master Building List to the Embedded Systems Office. This list included all facilities occupied by the Department with a risk assigned to each building. For facilities classified as fatal or critical, the Department then provided documentation for the embedded systems in that facility.

For facilities classified as fatal or critical, the Department of Revenue has completed their embedded systems program. Status for this department will no longer be tracked.

#### *Inventory Assessment and Completeness*

N/A

#### *Site Review*

N/A

#### *Summary*

N/A

#### *Issues and Concerns*

N/A

#### *Forward Actions*

N/A

## 2.18.2 Inventory Statistics

Compliance Statistics - Department of Revenue								
	Compliant		Non-Compliant		Unknown		Total	
	Count	%	Count	%	Count	%	Count	%
Fatal	1	11.11%	0	0.00%	0	0.00%	1	11.11%
Critical	8	88.89%	0	0.00%	0	0.00%	8	88.89%
<b>Fatal and Critical Subtotal</b>	<b>9</b>	<b>100.00%</b>	<b>0</b>	<b>0.00%</b>	<b>0</b>	<b>0.00%</b>	<b>9</b>	
Important	30	34.88%	4	4.65%	10	11.63%	44	51.16%
Marginal	14	16.28%	0	0.00%	28	32.56%	42	48.84%
<b>Important and Marginal Subtotal</b>	<b>44</b>	<b>51.16%</b>	<b>4</b>	<b>4.65%</b>	<b>38</b>	<b>44.19%</b>	<b>86</b>	
Unknown	0	0.00%	0	0.00%	0	0.00%	0	0.00%
<b>Unknown Subtotal</b>	<b>0</b>	<b>0.00%</b>	<b>0</b>	<b>0.00%</b>	<b>0</b>	<b>0.00%</b>	<b>0</b>	
<b>TOTAL</b>	<b>53</b>	<b>55.79%</b>	<b>4</b>	<b>4.21%</b>	<b>38</b>	<b>40.00%</b>	<b>95</b>	

Figure 2.18.2-1: Department of Revenue Compliance Statistics

Compliance Documentation Statistics - Department of Revenue							
	Documented		Un-Documented		Total		
	Count	%	Count	%	Count	%	
Fatal	1	11.11%	0	0.00%	1	11.11%	
Critical	8	88.89%	0	0.00%	8	88.89%	
<b>Fatal and Critical Subtotal</b>	<b>9</b>	<b>100.00%</b>	<b>0</b>	<b>0.00%</b>	<b>9</b>		
Important	15	17.44%	29	33.72%	44	51.16%	
Marginal	14	16.28%	28	32.56%	42	48.84%	
<b>Important and Marginal Subtotal</b>	<b>29</b>	<b>33.72%</b>	<b>57</b>	<b>66.28%</b>	<b>86</b>		
Unknown	0	0.00%	0	0.00%	0	0.00%	
<b>Unknown Subtotal</b>	<b>0</b>	<b>0.00%</b>	<b>0</b>	<b>0.00%</b>	<b>0</b>		
<b>TOTAL</b>	<b>38</b>	<b>40.00%</b>	<b>57</b>	<b>60.00%</b>	<b>95</b>		

Figure 2.18.2-2: Department of Revenue Compliance Documentation Statistics

## 2.19 Secretary of State

### 2.19.1 Progress Information

Department of Secretary of State Program Phase Ratings		
Step	Score	Comment
1. Inventory and Risk Assessment	5	
2. Locating Embedded Chips	5	
3. Location of Warranties	---	Not evaluated for this report.
4. Contacting Vendors/Manufacturers	5	
5. Program Efforts	5	
6. Remediation	5	
7. Testing	5	No documentation has been provided.

**Figure 2.19.1-1: Department of Secretary of State Program Step Ratings**

#### *Overview*

For items classified as fatal or critical, the Department of Secretary of State has completed their embedded systems program. Status for this department will no longer be tracked.

The Department is continuing work to finalize their master building list and landlord confirmation letters.

#### *Inventory Assessment and Completeness*

N/A

#### *Site Review*

N/A

#### *Summary*

N/A

#### *Issues and Concerns*

N/A

#### *Forward Actions*

N/A

## 2.19.2 Inventory Statistics

Compliance Statistics - Department of Secretary of State								
	Compliant		Non-Compliant		Unknown		Total	
	Count	%	Count	%	Count	%	Count	%
Fatal	1	25.00%	0	0.00%	0	0.00%	1	25.00%
Critical	3	75.00%	0	0.00%	0	0.00%	3	75.00%
<b>Fatal and Critical Subtotal</b>	<b>4</b>	<b>100.00%</b>	<b>0</b>	<b>0.00%</b>	<b>0</b>	<b>0.00%</b>	<b>4</b>	
Important	3	75.00%	0	0.00%	0	0.00%	3	75.00%
Marginal	1	25.00%	0	0.00%	0	0.00%	1	25.00%
<b>Important and Marginal Subtotal</b>	<b>4</b>	<b>100.00%</b>	<b>0</b>	<b>0.00%</b>	<b>0</b>	<b>0.00%</b>	<b>4</b>	
Unknown	0	0.00%	0	0.00%	0	0.00%	0	0.00%
<b>Unknown Subtotal</b>	<b>0</b>	<b>0.00%</b>	<b>0</b>	<b>0.00%</b>	<b>0</b>	<b>0.00%</b>	<b>0</b>	
<b>TOTAL</b>	<b>8</b>	<b>100.00%</b>	<b>0</b>	<b>0.00%</b>	<b>0</b>	<b>0.00%</b>	<b>8</b>	

Figure 2.19.2-1: Department of Secretary of State Compliance Statistics

Compliance Documentation Statistics - Department of Secretary of State						
	Documented		Un-Documented		Total	
	Count	%	Count	%	Count	%
Fatal	1	25.00%	0	0.00%	1	25.00%
Critical	3	75.00%	0	0.00%	3	75.00%
<b>Fatal and Critical Subtotal</b>	<b>4</b>	<b>100.00%</b>	<b>0</b>	<b>0.00%</b>	<b>4</b>	
Important	3	75.00%	0	0.00%	3	75.00%
Marginal	0	0.00%	1	25.00%	1	25.00%
<b>Important and Marginal Subtotal</b>	<b>3</b>	<b>75.00%</b>	<b>1</b>	<b>25.00%</b>	<b>4</b>	
Unknown	0	0.00%	0	0.00%	0	0.00%
<b>Unknown Subtotal</b>	<b>0</b>	<b>0.00%</b>	<b>0</b>	<b>0.00%</b>	<b>0</b>	
<b>TOTAL</b>	<b>7</b>	<b>87.50%</b>	<b>1</b>	<b>12.50%</b>	<b>8</b>	

Figure 2.19.2-2: Department of Secretary of State Compliance Documentation Statistics

## 2.20 Transportation

### 2.20.1 Progress Information

Department of Transportation Program Phase Ratings		
Step	Score	Comment
1. Inventory and Risk Assessment	5	
2. Locating Embedded Chips	5	
3. Location of Warranties	---	Not evaluated for this report.
4. Contacting Vendors/Manufacturers	5	
5. Program Efforts	5	
6. Remediation	5	
7. Testing	5	

**Figure 2.20.1-1: Department of Transportation Program Phase Ratings**

#### *Overview*

For items classified as fatal or critical, the Department of Transportation has completed their embedded systems program. Status for this department will no longer be tracked.

The Department is also continuing work to finalize their master building list and landlord confirmation letters.

#### *Inventory Assessment and Completeness*

N/A

#### *Site Review*

N/A

#### *Summary*

N/A

#### *Issues and Concerns*

N/A

#### *Forward Actions*

N/A

## 2.20.2 Inventory Statistics

Compliance Statistics - Department of Transportation								
	Compliant		Non-Compliant		Unknown		Total	
	Count	%	Count	%	Count	%	Count	%
Fatal	732	80.88%	0	0.00%	9	0.99%	741	81.88%
Critical	164	18.12%	0	0.00%	0	0.00%	164	18.12%
<b>Fatal and Critical Subtotal</b>	<b>896</b>	<b>99.01%</b>	<b>0</b>	<b>0.00%</b>	<b>9</b>	<b>0.99%</b>	<b>905</b>	
Important	204	55.59%	31	8.45%	91	24.80%	326	88.83%
Marginal	13	3.54%	6	1.63%	22	5.99%	41	11.17%
<b>Important and Marginal Subtotal</b>	<b>217</b>	<b>59.13%</b>	<b>37</b>	<b>10.08%</b>	<b>113</b>	<b>30.79%</b>	<b>367</b>	
Unknown	0	0.00%	0	0.00%	0	0.00%	0	0.00%
<b>Unknown Subtotal</b>	<b>0</b>	<b>0.00%</b>	<b>0</b>	<b>0.00%</b>	<b>0</b>	<b>0.00%</b>	<b>0</b>	
<b>TOTAL</b>	<b>1113</b>	<b>87.50%</b>	<b>37</b>	<b>2.91%</b>	<b>122</b>	<b>9.59%</b>	<b>1272</b>	

**Figure 2.20.2-1: Department of Transportation Compliance Statistics**

The Embedded Systems Office will not be providing compliance documentation statistics for the Department of Transportation as the documentation has been gathered and organized by the independent consultant and will not be reviewed in detail by the Embedded Systems Office.

**Figure 2.20.2-2: Department of Transportation Compliance Documentation Statistics**

## 2.21 Treasury

### 2.21.1 Progress Information

Department of Treasury Program Phase Ratings		
Step	Score	Comment
1. Inventory and Risk Assessment	5	
2. Locating Embedded Chips	5	
3. Location of Warranties	---	Not evaluated for this report.
4. Contacting Vendors/Manufacturers	5	
5. Program Efforts	5	
6. Remediation	5	
7. Testing	5	

**Figure 2.21.1-1: Department of Treasury Program Step Ratings**

#### *Overview*

For items classified as fatal or critical, the Department of Treasury has completed their embedded systems program. Status for this department will no longer be tracked.

#### *Inventory Assessment and Completeness*

N/A

#### *Site Review*

N/A

#### *Summary*

N/A

#### *Issues and Concerns*

N/A

#### *Forward Actions*

N/A

## 2.21.2 Inventory Statistics

Compliance Statistics - Department of Treasury								
	Compliant		Non-Compliant		Unknown		Total	
	Count	%	Count	%	Count	%	Count	%
Fatal	0	0.00%	0	0.00%	0	0.00%	0	0.00%
Critical	0	0.00%	0	0.00%	0	0.00%	0	0.00%
<b>Fatal and Critical Subtotal</b>	<b>0</b>	<b>0.00%</b>	<b>0</b>	<b>0.00%</b>	<b>0</b>	<b>0.00%</b>	<b>0</b>	
Important	3	60.00%	0	0.00%	0	0.00%	3	60.00%
Marginal	0	0.00%	0	0.00%	2	40.00%	2	40.00%
<b>Important and Marginal Subtotal</b>	<b>3</b>	<b>60.00%</b>	<b>0</b>	<b>0.00%</b>	<b>2</b>	<b>40.00%</b>	<b>5</b>	
Unknown	0	0.00%	0	0.00%	0	0.00%	0	0.00%
<b>Unknown Subtotal</b>	<b>0</b>	<b>0.00%</b>	<b>0</b>	<b>0.00%</b>	<b>0</b>	<b>0.00%</b>	<b>0</b>	
<b>TOTAL</b>	<b>3</b>	<b>60.00%</b>	<b>0</b>	<b>0.00%</b>	<b>2</b>	<b>40.00%</b>	<b>5</b>	

Figure 2.21.2-1: Department of Treasury Compliance Statistics

Compliance Documentation Statistics - Department of Treasury							
	Documented		Un-Documented		Total		
	Count	%	Count	%	Count	%	
Fatal	0	0.00%	0	0.00%	0	0.00%	
Critical	0	0.00%	0	0.00%	0	0.00%	
<b>Fatal and Critical Subtotal</b>	<b>0</b>	<b>0.00%</b>	<b>0</b>	<b>0.00%</b>	<b>0</b>		
Important	1	20.00%	2	40.00%	3	60.00%	
Marginal	0	0.00%	2	40.00%	2	40.00%	
<b>Important and Marginal Subtotal</b>	<b>1</b>	<b>20.00%</b>	<b>4</b>	<b>80.00%</b>	<b>5</b>		
Unknown	0	0.00%	0	0.00%	0	0.00%	
<b>Unknown Subtotal</b>	<b>0</b>	<b>0.00%</b>	<b>0</b>	<b>0.00%</b>	<b>0</b>		
<b>TOTAL</b>	<b>1</b>	<b>20.00%</b>	<b>4</b>	<b>80.00%</b>	<b>5</b>		

Figure 2.21.2-2: Department of Treasury Compliance Documentation Statistics

